Please type	a plus	sign (+) inside	this	bc

03-23-01

PTO/SB/05 (11-00)

se through 10/31/2002, OMB 0651-0032 e: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Tradema Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. First Inventor		16869C01690		
			Naiqian Lu	
Title	Cascade Wind	dow Searching I	Method and Apparatus	
Expres	s Mail Label No	. EL3813217	709US	

Approv

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO **Box Patent Application** See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. Specification [Total Pages a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii.

paper number of pages - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention 9. 🛛 - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b)Statement ☐ Power of - Detailed Description (when there is an assignee) Attorney Claim(s) - Abstract of the Disclosure English Translation Document (if applicable) 11. 🔲 4. 🔯 Drawing(s) (35 U.S.C.113) [Total Sheets 8 12. 🔲 Information Disclosure Copies of IDS 1 Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages | 2 13. 🔲 **Preliminary Amendment** Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Request and Certification under 35 U.S.C. named in the prior application, see 37 CFR 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Divisional □ Continuation ☐ Continuation-in-part (CIP) of prior application No: _ Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, Is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS □ Customer Number or Bar Code Label or 🖾 Correspondence address below 20350 (insert Customer No. or Attach bar code label here) Townsend and Townsend and Crew LLP Name Two Embarcadero Center, 8th Floor Address City San Francisco CA 94111-3834 State Zip Code Fax Country USA Telephone 650-326-2400 650-326-2422 George B. F. Yee Name (Print/Type) Registration No. (Attorney/Agent) 37,478 Signature Date March 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. PA 3134590 v1

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Application Number	Unassigned	
Filing Date	March 21, 2001	
First Named Inventor	Naiqian Lu	
Examiner Name	Unassigned	
Group Art Unit	Unassigned	
Attorney Docket No.	16869C016900	

TOTAL	. AIVIOL	JN1 UF	PATRI	EMI (2)	040		Attorn	ey Docke	et No.	1000	90016900	
		м	ETHOD	OF PAYMENT	r					FEE C	ALCULATION (continued)	
1. 🛛				oner is hereby			3. ADI	DITIONAL	FEES			
Deposi Accour	nt	20-1		and credit any	over payme	ents to:	Large Fee Code	Entity Fee (\$)	Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Numbe	er						105 127	130 50	205 227	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee	
Deposit Account Townsend and Townsend and Crew LLP Name						139	130	139	130	or cover sheet. Non-English specification		
⊠ Ch	narge An	y Addition					147 112	2,520 920*	147 112	2,520 920*	For filing a request for reexamination Requesting publication of SIR prior to	-
☐ Ap	pplicant o	CFR 1.16					113	1,840*	113	1,840*	Examiner action Requesting publication of SIR after	-
	See 37 Cl Pavmei	nt Enclos	sed:				115	110	215	55	Examiner action	
Check ☐ Credit card ☐ Money ☐ Other						116	390	216	195	Extension for reply within first month Extension for reply within second month		
				Order		_	117	890	217	445	Extension for reply within third month	<u> </u>
1. BA	ASIC FII	LING FEE		LCULATION			118	1,390	218	695	Extension for reply within fourth	
	Entity S		ntity				128	1,890	228	945	Extension for reply within fifth month	
				ee Descriptio	. ח		119	310	219	155	Notice of Appeal	
-	,		(\$)			Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
				Itility filing fee		710	121	270	221	135	Request for oral hearing	
				Design filing fee Plant filing fee	ð		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 7	710 2	208 3	355 R	Reissue filing fe	Эе		140	110	240	55	Petition to revive – unavoidable	
114 1	150 2	214 7	75 P	Provisional filing	g fee		141	1,240	241	620	Petition to revive - unintentional	
							142	1,240	242	620	Utility issue fee (or reissue)	
		SUE	STOTAL	(1)	Į	(\$)710	143	440	243	220	Design issue fee	
2. EXTRA	A CLAIN	A EEES					144	600	244	300	Plant issue fee	
L. LATIO	· OLAIII		F	xtra Fo	ee from	Fee	122	130	122	130	Petitions to the Commissioner	
otal Claims	25	-20**		laims be	elow	Paid = \$90	123	50	123	50	Petitions related to provisional applications	
dependent laims	3	-3**	= 0	×[\$80 :	= \$0	126	180	126	180	Submission of Information Disclosure Stmt	
fultiple ependent		_		× [-	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Small Fee	Entity Fee	Fac Danasis	41		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descrip			149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in ex							examined (37 CFR § 1.129(b))	L
102	80	202	40	Independent			179	710	279	355	Request for Continued Examination (RCE)	1
104 109	270 80	204 209	135 40	** Reissue in	fultiple dependent claim, if not paid * Reissue independent claims over			900	169	900	Request for expedited examination of a design application	
110	18	210	9	original pater ** Reissue cl over original	laims in exc	ess of 20 and	Other fee (specify)					
			SUI	BTOTAL (2)	(\$)90		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
**or numbe	er previou	usly paid, if	greater; f	For Reissues, s	ee above	J	*Reduc	ed by Ba	sic Filing	Fee Pa	aid SUBTOTAL (3) (\$)40	

SUBMITTED BY				Complete (if applicable)			
Name (Print/Type)	George B. F. Yee	Registration No. (Attorney/Agent)	37,478	Telephone	650-326-2400		
Signature	Almose	8f 11.		Date	March 21, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.